



# Forest Carbon Partnership Facility

## Completeness Check of DRC ER-PI N

Seventh Meeting of the Carbon Fund (CF7)

Paris, France

June 24 -25, 2013

## Forest Carbon Partnership Facility (FCPF)

### Carbon Fund

#### ER-PIN Internal Review Template

- **“Per Resolution CFM/4/2012/1, the ER-PIN selection criteria as referred to in 1 (iii) above are as follows:**
  - **Progress towards Readiness**: The Emission Reductions Program (ER Program) must be located in a REDD Country Participant that has signed a Readiness Preparation grant agreement (or the equivalent) with a Delivery Partner under the Readiness Fund, and that has prepared a reasonable and credible timeline to submit a Readiness Package to the Participants Committee;
  - **Political commitment**: The REDD Country Participant demonstrates a high-level and cross-sectoral political commitment to the ER Program, and to implementing REDD+;
  - **Methodological Framework**: The ER Program must be consistent with the emerging Methodological Framework, including the PC’s guiding principles on the methodological framework;
  - **Scale**: The ER Program will be implemented either at the national level or at a significant sub-national scale, and generate a large volume of Emission Reductions;
  - **Technical soundness**: All the sections of the ER-PIN template are adequately addressed;
  - **Non-carbon benefits**: The ER Program will generate substantial non-carbon benefits; and
  - **Diversity and learning value**: The ER Program contains innovative features, such that its inclusion in the portfolio would add diversity and generate learning value for Carbon Fund.”

## Section 1: Entity responsible for management of ER Program

Guidance	Reference	Ok/No	Justification / Remarks
Section completed	Requirement (i)	OK	Ministry of Environment, Nature Conservation and Tourism (MECNT)
Submitted by the government, or government-approved entities	Requirement (ii)	OK	The ER-PIN was submitted with a cover letter (Ref. 1069/CAB/MIN/ECN-T/04/26/BNME/013) duly signed by His Excellency, the Minister of Environment, Nature Conservation and Tourism, Bavon N'SA MPUTU ELIMA, on the 16th of May, 2013.
Signed Readiness Preparation grant agreement	Selection criteria (i)	OK	<ul style="list-style-type: none"> <li>- Participation Agreement: August 16, 2008</li> <li>- Readiness Preparation grant agreement: March 18, 2009</li> <li>- Request for additional funding underway</li> </ul>
Making progress towards readiness. Timeline for submitting R- Package communicated to FMT	Selection criteria (i)	OK	<p><b>National REDD+ Strategy</b> validated in Nov. 2012</p> <p><b>SESA and ESMF</b> to be validated in Oct.2013</p> <p><b>National REDD+ Fund</b> under designing</p> <p><b>Registry:</b> first version available – SIS to be added</p> <p><b>MRV:</b> Methodology validated – Inventories underway</p> <p><b>RELS:</b> historical deforestation rates known (OSFAC)</p> <p><b>GRM:</b> to be developed</p> <p><b>R-Package to be submitted by the end of 2014</b></p>

## Section 2: National REDD+ focal point contact information

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	National REDD+ Coordination (CN-REDD)
REDD Country endorses . . . And authorized to submit ER Program	Requirement (ii)	OK	
Demonstrates high-level political commitment to ER Program	Selection criteria (i)	OK	High Level Forum on Forests & Climate Change for Sustainable Development in the DRC held in October 2011 under the patronage of the President of the Republic - National REDD+ strategy presented at the COP 18, in Doha

## Section 3: Partners involved in proposed ER Program

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Partners involved represent a wide range of sectors	Requirement (ii)	OK	<p><b>Environmental NGOs</b></p> <p><b>Private sector:</b> logging, cattle farming, agroforestry, CO2 origination, etc.</p> <p><b>Development partners</b></p> <p><b>Civil society and Indigenous Peoples</b></p>

## Section 4: ER Program location and lifetime

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Scale and location of the ER Program is clearly identified	Selection criterion iv	OK	<b>Future Mai NDombe Province: 12 336 350 ha Forest cover 74%</b>

## Section 5: Description of activities planned under ER Program

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Drivers of deforestation, degradation, and carbon stock enhancements clearly described and are consistent with the R-PP. Have potential to address the drivers.	Requirement (iv). Selection criteria (v)	OK	<p><b>Enabling activities</b> Governance, land use management, law enforcement, community development</p> <p><b>Emission Reduction (sectoral) activities</b> Reduce impact logging, afforestation / reforestation, agroforestry, bushfire control, natural regeneration, conservation concessions, community forest management, energy efficiency</p>
Sustainability of emission reductions over time (and avoid reversals) clearly described.		OK	<p><b>Risks of reversals identified (anthropogenic / Non-anthropogenic) with activities (enabling / sectoral) designed accordingly</b></p> <p><b>Buffer mechanism</b></p>
Described how ERP will minimize risk of displacement of emissions, and proposed leakage activities		OK-	<b>Topic proposed to be developed at a later stage to take into account guidance from the MF</b>

## Section 6: Consistency with national REDD+ strategy and governance arrangements

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
How the scale of ER Program fits in national REDD management framework & Strategy	Requirement (iv), Selection criteria iv	OK	ER-Program developed at a jurisdictional level with the Bandundu Provincial Government involved in the ER-Program management
Governance and institutional arrangements clearly described. All relevant stakeholders are part of arrangements.	Selection criteria iii and v	OK	CN-REDD & Board of Direction composed of key stakeholders (IPs, Civil Society, logging & cattle ranching sectors, etc.) <b>3-party ER-Program Management structure</b> subject to due-diligence procedures, as well as respect of rules of conflict of interest

## Section 7: Preliminary assessment of ER Program re SESA & ESMF

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Links between the Program and the country's emerging ESMF clearly described.	Requirement (iv), Selection criteria (v)	OK	SESA and ESMF: validation process underway with National Social & Environmental Standards established

## Section 8: Stakeholder Information Sharing, Consultation, and Participation

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Identification of stakeholder groups involved; description how group has been consulted and has been involved in designing the ER Program. Indication if consensus has been reached ... or outstanding disputes	Requirement (iv); Selection criteria iii,v	OK	<b>Workshop</b> organized by WWF and financially supported by NORAD held in February 2013 to present the concept et get feedback <b>Drafting committee</b> set up to complete the ER-PIN <b>Validation workshop</b> in Kinshasa on May 8, 2013
planned outreach and consultation process has been described		OK	<b>Communication plan and capacity-building program</b> over the period 2013 – 2016 completed
feedback and grievance redress mechanism clearly described		OK	<b>GRM to be developed. Draft mechanism</b> discussed at a workshop held in October 2012

## Section 9: Additional Benefits

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement(i)	OK	
Program to generate non-carbon benefits, & MRV them	Req. (iv), Selection iii, vi	OK-	<b>Expected Non-carbon benefits identified</b> (Biodiversity, Livelihoods, Rights, Governance)



## Section 10 Benefit Sharing

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Benefit sharing mechanism clearly described, technically sound, based on R-PP	Requirement (iv), Selection criterion v	OK-	Proposed mechanism closely related to the structure of land tenure rights which will build on existing experiences and studies (benefit sharing options: CI-CODELT report, Apr.2012, etc.)

## Section 11: Reference Level and Expected Emission Reductions

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	The ER Program pledges to adapt to the forthcoming FCPF-CF methodological framework
Approach clearly described and technically sound, informed by national REL	Req. (iii), Selection iii, v	?	Proposal based on the existing approved VCS methodology (VM0009) to apply for “fair” adjustment
First estimate of expected REL provided; seems realistic	Selection criteria iv	?	Preliminary estimate with detailed analysis required during ER-Program design



## Section 12: Forest Monitoring System

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Approach for measurement and reporting clearly described and technically sound.	Selection criteria (v)	OK	<b>The MRV is based on 3 pillars:</b> The Satellite Land Monitoring System (Terra Congo) The National Forest Inventory The National Inventory of GHG
Consistent with IPCC Tier 2 standards; and with national REDD MRV system; partners' capacity assessed; MRV approach for non-C benefits is clear.	Selection criteria (iii, v, vi); Requirement iv.	OK	<b>Will comply at least with Tier 2 level under the IPCC 2006 guidelines</b> <b>Safeguard Information System (SIS) under development</b> <b>Possible cooperation with ICRAF and the LISA-REDD+ initiative</b> (Learning Initiative on Social Assessment for REDD+)

## Section 13: Summary of Progress on REDD+ Readiness

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Showing progress on REDD+ Readiness; and information is consistent with the R-PP	Selection criteria (i)	OK	<b>R-Package self assessment as of May 15, 2013 provided</b>

## Section 14: Financing plan

Guidance	Reference	Ok/ No	Justification / Remarks
Section completed	Requirement (i)	OK	
Financial arrangement of the proposed ER program are clearly described	Selection criteria (v)	OK	Detailed table provided
No obvious funding gaps that might prevent ER Program from delivering a significant portion of the expected volume of ERs		?	Funding gaps, and more broadly ER-Program feasibility, will depend on the retained REL

## Learning value (overarching)

Guidance	Reference	Ok/ No	Justification / Remarks
Program is innovative, introduces new concepts and implements a variety of interventions	Requirement (i)	OK	Sub-national program building on diverse initiatives (WWF, FIP, ERA-WWC, etc.)
Design of the ER Program and activities are different from other ER Programs already in the pipeline	Selection criteria (vii)	OK	The types of threat to forests within the ER-Program range from increasing smallholder expansion in agriculture, charcoal production and cattle grazing to logging operations and the threat caused by expanding infrastructure and access created by logging concessions.