

Democratic Republic of Congo

Stabilization and Recovery in Eastern DRC

Project Preparation Advance

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

5 April 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Democratic Republic of Congo (hereafter “the Recipient”) is planning to implement the **Stabilization and Recovery in Eastern DRC Project** (the “Project”), for which it has requested an Advance for the preparation with the involvement of the following Ministries/agencies/units : Ministry of Finance, Cellule d’Exécution du Projet de Transport Multimodal (CEPTM) – Multimodal Transport Project Implementation Unit, under the Ministry of Transport. The International Development Association (“The Association”) has agreed to provide the Project Preparation Advance to support preparation of the project.
2. The Recipient shall implement material measures and actions so that the *PPA* is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the CEPTM or unit referenced in 1. above.
4. The Activities for which the PPA is provided consist of the following: (i) consulting services for technical feasibility and preparatory studies including the preparation of key project documents (including safeguards documents, project implementation manual, grievance redress manual; (ii) support for the establishment and operation of a 'technical working group' under the supervision of the three Provincial Governors to allow for regular coordination across the three Provinces during project preparation as well as engagement with the World Bank project team; (iii) recruitment of key project implementation staff and provision of operating costs in advance of project effectiveness; and (iv) operating costs covering travel costs of government team, equipment and supplies and office space for the project implementation unit, and workshops and training.
5. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by the CEPTM as required by the ESCP and the conditions of the grant agreement, and the *Association* shall monitor and assess progress and completion of the material measures and actions throughout implementation of the PPA.
6. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during PPA implementation, to reflect adaptive management of PPA changes and unforeseen circumstances or in response to assessment of PPA performance conducted under the ESCP itself. In such circumstances, the Recipient shall agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Association and the Recipient. The Recipient shall promptly disclose the updated ESCP.
7. Where PPA changes, unforeseen circumstances, or PPA performance result in changes to the risks and impacts during PPA implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include risks and impacts that are relevant to the PPA, such as environmental, health, and safety impacts, labor influx, sexual exploitation and abuse or sexual harassment.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the PPA, including but not limited to the implementation of the ESCP, status of preparation of E&S documents prepared under the ESCP, stakeholder engagement activities, status of any complaints received.</p>	<p>Quarterly report starting from the Advance Agreement effectiveness and throughout the PPA implementation</p>	<p>CEPTM and Ministry of Finance (MOF)</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the PPA which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers possible incidents or accidents, such as traffic accidents. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Notify the Association within 48 hours after learning of the incident or accident. A subsequent report shall be provided within a timeframe acceptable to the Association, as requested</i></p>	<p>Consultant/ CEPTM and MOF</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.1 ORGANIZATIONAL STRUCTURE</p> <ul style="list-style-type: none"> The Goma Airport Safety Improvement Project (P153085) project coordinator shall be responsible for the implementation of the ESCP for the PPA, as specified in its revised terms of reference. CEPTM shall maintain its current Environmental & Social Specialist (ESS), and also shall hire one Environmental Specialist (ES), one Social Development Specialist (SDS) and one Gender-based Violence Specialist (GBVS) consultants and assign them to ensure the implementation and supervision of the activities financed under the PPA until the Project hires dedicated E&S specialists. CEPTM shall undertake an initial assessment of institutional arrangements, needs and capacity for qualified E&S and SEA/H specialists to be placed at national and provincial/local levels for the life of Project implementation to address Project E&S and SEA/H risks and mitigation measures when the project becomes operational. 	<p><i>CEPTM's Environmental & Social Specialist (ESS), shall be maintained, and the PPA Environmental Specialist, Social Development Specialist (SDS) and Gender-based Violence Specialist (GBVS) consultants shall be hired and maintained until they are replaced by qualified E&S and SEA/SH specialists recruited under the project.</i></p> <p><i>PPA-dedicated ES, SDS and GBVS consultants to be hired within one month of PPA approval.</i></p> <p><i>Initial institutional and capacity assessment to be completed no later than the Stabilization project Effective date and prior to hiring of national/provincial/local Stabilization Project E&S & GBV/SEA/H specialists</i></p>	<p>CEPTM</p>
<p>1.2 TECHNICAL ASSISTANCE</p> <ul style="list-style-type: none"> Ensure that the consultancies, studies, capacity building, training and any other technical assistance activities under the PPA, including any environmental and social assessments and related environmental and social instruments, are carried out in accordance with terms of reference acceptable to the Association and duly incorporate the requirements of the ESSs. 	<p><i>Throughout PPA implementation.</i></p>	<p>CEPTM</p>
<p>1.3 MANAGEMENT TOOLS AND INSTRUMENTS</p> <ul style="list-style-type: none"> - Considering the expected PPA risks and impacts, CEPTM/PIU shall prepare, disclose, consult upon, adopt and thereafter implement a Stakeholder Engagement Plan (SEP) for the PPA. 	<p><i>PPA SEP prepared, approved and disclosed prior to PPA approval.</i></p>	<p>CEPTM</p>
<p>ESS 2: LABOR AND WORKING CONDITIONS</p>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Ensure that: (a) the relevant aspects of ESS2 apply to PPA workers, as appropriate, in accordance with the type of workers involved in the PPA; including ESHS protections and COVID-19 prevention measures, in a manner acceptable to the Association.</p>	<p>a) From commencement of PPA activities throughout PPA implementation</p>	CEPTM
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
The requirements of ESS3 shall be taken into account as relevant as part of action 1.2 above.			
ESS 4: COMMUNITY HEALTH AND SAFETY			
The requirements of ESS4 shall be taken into account as relevant as part of action 1.2 above			
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
The requirements of ESS5 shall be taken into account as relevant as part of action 1.2 above			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
The requirements of ESS6 shall be taken into account as relevant as part of action 1.2 above			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
The requirements of ESS7 shall be taken into account as relevant as part of action 1.2 above			
ESS 8: CULTURAL HERITAGE: The requirements of ESS8 shall be taken into account as relevant as part of action 1.2 above			
The requirements of ESS8 shall be taken into account as relevant as part of action 1.2 above			
ESS 9: FINANCIAL INTERMEDIARIES			
The Project shall not involve the use of financial intermediaries.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</p> <p>Ensure that technical assistance activities carried out under the PPA incorporate stakeholder engagement and information disclosure in a manner consistent with ESS10. Consultations shall respect national COVID-19 guidelines on social distancing and public gatherings. Prepare, consult upon, disclose and implement a SEP for PPA activities.</p>	<p>PPA SEP prepared, approved and disclosed prior to PPA approval. PPA SEP implemented throughout PPA implementation</p>	CEPTM
10.2	<p>GRIEVANCE REDRESS MECHANISM ARRANGEMENTS:</p> <p>a) Receive and resolve any complaints submitted in relation to the PPA, in accordance with ESS10, and in a manner acceptable to the Association.</p>	<p>From commencement of PPA activities throughout PPA implementation</p>	CEPTM
CAPACITY SUPPORT (TRAINING)			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>The MOF/ CEPTM/PIU/ 'technical working group' will need training on the environmental and social aspects relevant for an adequate application of the WB ESF, including:</p> <ul style="list-style-type: none"> - Technical quality review of E&S instruments; - Briefing on the ESF and technical workshop on relevant E&S requirements/instruments relevant for an adequate application of the WB ESF; - ESF online training course for Borrowers 	<p><i>Prior to the commencement of the PPA activities and throughout PPA implementation</i></p>	<p>CEPTM</p>